

FINDING THE RIGHT ADVISOR: A CONSUMER INTERVIEW GUIDE

Tell me a little about you:

1. Do you have any specialized education or designations related to your practice?

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2. What do you do to keep your knowledge up to date?

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3. Do you belong to any professional associations and if so, which ones?

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Tell me about your practice:

1. How long have you been practicing?

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2. Do you have many clients with similar financial needs to mine?

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3. Would it be possible for me to speak with some of your clients?

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If I decide that you're the right financial advisor for me

1. How will we be working together and will you be involving anyone else in the process?

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2. How will I pay you?

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3. If something goes wrong such as an error on your part, do you have sufficient liability insurance to cover things like that?

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